# The $Fe^{4+/3+}$ redox mechanism in NaFeO<sub>2</sub>: A simultaneous *operando* Nuclear Resonance and X-ray Scattering study

Dr. Marcus Fehse,\*,†,‡,¶ Dr. Dimitrios Bessas,§ Dr. Abdelfattah Mahmoud, $^{\parallel,\perp}$  Aliou Diatta, $^{\#,@}$  Dr. Raphael P. Hermann, $^{\triangle,\perp}$  Prof. Lorenzo Stievano,\*, $^{\#,@,\P}$  and Dr. Moulay T. Sougrati $^{\#,@,\P}$ 

†CIC Energigune, Parque Tecnológico de Álava, Albert Einstein 48, ED. CIC 01510, Miñano, Spain.

 $\ddagger Faculty\ of\ Applied\ Sciences,\ Delft\ University\ of\ Technology,\ Delft,\ Netherlands.$ 

 $\P{A listore~European~Research~Institute,~CNRS,~Amiens,~France.}$ 

 $\S ESRF$ -The European Synchrotron, Grenoble, France.

∥Unit of Inorganic Materials Chemistry (GREENMAT/LCIS), Univ. Liège, Liège, Belgium ⊥Jülich Centre for Neutron Science JCNS and Peter Grünberg Institut PGI, JARA-FIT,

Forschungszentrum Jülich GmbH, Jülich, Germany

 $\#ICGM,\ Univ.\ Montpellier,\ CNRS,\ Montpellier,\ France.$ 

 $@Reseau\ sur\ le\ Stockage\ Electrochimique\ de\ l'Energie\ (RS2E),\ CNRS,\ Amiens,\ France.$ 

 $\triangle \textit{Material Science and Technology Division, Oak Ridge National Laboratory, Oak Ridge,}$ 

USA

E-mail: marcus.fehse@umontpellier.fr; lorenzo.stievano@umontpellier.fr

1 Abstract

Simultaneous operando Nuclear Forward Scattering and transmission X-ray diffraction and <sup>57</sup>Fe Mössbauer spectroscopy measurements were carried out in order to investigate the electrochemical mechanism of NaFeO<sub>2</sub> vs. Na metal using a specifically designed in situ cell. The obtained data were analysed using an alternative and innovative data analysis approach based on chemometric tools such as Principal Component Analysis (PCA) and Multivariate Curve Resolution - Alternating Least Squares (MCR-ALS). This approach, which allows the unbiased extraction of all possible information from the operando data, enabled the stepwise reconstruction of the independent "real" components permitting the description of the desodiation mechanism of NaFeO<sub>2</sub>. This wealth of information allows a clear description of the electrochemical reaction at the redox-active iron centres, and thus an improved comprehension of the cycling mechanisms of this material vs. sodium.

# 14 Keywords

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Mössbauer spectroscopy, Chemometrics, MCR-ALS, Na-ion batteries, Nuclear Forward scattering, NaFeO<sub>2</sub>

#### 1 Introduction

Sodium ion batteries (SIB) are ideal for large-scale electrochemical storage which are not subject to weight or volume restrictions. Iron-based cathode materials are particularly interesting, since they fulfil both economical and ecological requirements [1]. In this regard, the layered transition metal oxide NaFeO<sub>2</sub> has received much interest since it was the first electrode material for SIB reversibly cycling on the Fe<sup>3+/4+</sup> redox couple [2]. Moreover, NaFeO<sub>2</sub> has the flattest and highest average working voltage of all single metal O3-type systems[3]. Unfortunately, its electrochemical performance deteriorates rapidly if more than 25 0.5 Na<sup>+</sup> per formula unit are extracted due to irreversible structural changes which lead to 26 the disturbance of Na pathways caused by the migration of Fe into interslab spaces [4, 5]. 27 Substituting Fe partially by other transition metals can suppress structural deterioration 28 and avoid Fe migration hence increasing overall capacity and cycling stability [6, 7].

Attempts to elucidate the reaction mechanism based on ex situ Mössbauer spectroscopy 29 suggest that sole Fe<sup>4+</sup> formation cannot explain the overall capacity obtained and that 30 additional charge compensation contribution must be present [8]. As a possible explanation, 31 the contribution of both transition metal and oxygen to the charge compensation has been 32 lately proposed [9]. In their recent in situ XAS study, Susanto et al. highlighted that beyond 0.5 Na<sup>+</sup> extraction the charge is predominantly provided by oxygen which is irreversibly released when extracting more than 0.6 Na per formula unit [10]. In other studies based on ex situ Mössbauer spectroscopy, it was revealed that Fe<sup>4+</sup> formed during sodium/lithium 36 extraction is unstable and spontaneously reduces back to Fe<sup>3+</sup> upon open circuit storage [11, 12], underlining the importance of an in situ or operando based analytical approaches. 38 These observations demand for a thorough study of the evolution of the physico-chemical 39 properties of the iron centres under realistic cycling conditions in order to closely follow the reaction mechanism and elucidate the working principle of the  $\mathrm{Fe^{4+}/Fe^{3+}}$  redox couple. Such information can be obtained by several techniques such as X-ray absorption and <sup>57</sup>Fe Mössbauer spectroscopy, which provide element-specific core resonance information about the iron centres and can be easily applied under in situ conditions. On the other hand, the high degree of long range order during the phase transition between increasingly desodiated 45

In this work, we report the application of *operando* Nuclear Forward Scattering (NFS), a spectroscopic technique based on the Mössbauer effect, to closely monitor the reaction process of NaFeO<sub>2</sub> vs. sodium. NFS, applied here for the first time to the study of battery materials, benefits from the brilliance of 3<sup>rd</sup> generation synchrotron radiation sources

and lattice parameter change upon desodiation reaction.

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phases makes X-ray diffraction (XRD) a suitable technique to monitor the phase evolution

radiation sources, and therefore has the advantage of providing similar information but with reduced collection times (here 7 minutes per spectrum), thus opening the application of the Mössbauer effect to the study of faster reaction mechanisms than those measured conventionally (several hours per spectrum for samples non-enriched in <sup>57</sup>Fe and large amount of 55 electrode materials).[13] Moreover, NFS allows working on samples with small sizes and is a 56 background free method, thus enabling the collection of data with very high signal-to-noise 57 ratios. Finally, in this experiment NFS could be coupled to operando transmission XRD, which was measured in parallel on the same sample during the same electrochemical processes. In this way, it was possible to measure simultaneously the evolution of both the long-range order of the material and the local physico-chemical properties of the iron centres. To validate the approach, the results of NFS are closely compared to those obtained 62 by conventional lab-scale operando Mössbauer spectroscopy and X-ray diffraction applied on the same system.

### <sub>65</sub> 2 Experimental

#### 66 2.1 Material synthesis and electrode formulation

The pristine NaFeO<sub>2</sub> powders used in this work were prepared starting from 260 mg of Na<sub>2</sub>CO<sub>3</sub> and 360 mg of a mixture of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> and  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> isotopically enriched in <sup>57</sup>Fe (95 %). The two precursors were finely ground in a mortar for 10 minutes, and then annealed in air at 600 °C for 18 hours with a heating rate of 12 °C h<sup>-1</sup>. The phase purity of the pristine material was checked by laboratory X-ray diffraction (Fig. S1 table S1). Due to moisture sensitivity, the NaFeO<sub>2</sub> samples were stored and handled in a glovebox under inert atmosphere.

Electrodes with approximate diameter of 12 mm were prepared as composite self-supported pellets as previously described [14]. In short; electrodes were pasted on an aluminium foil starting from a slurry containing 85 wt.% NaFeO<sub>2</sub>, 10 wt.% super-P carbon, 5 wt.% PVDF

(polyvinylideneflouride) dissolved in NMP (1-methyl-2 pyrrolidinone). The electrode used for the *operando* experiments contained  $\approx 2 - 4 \,\mathrm{mg} \,\mathrm{cm}^{-2}$  of NaFeO<sub>2</sub>.

#### <sup>79</sup> 2.2 <sup>57</sup>Fe Mössbauer spectroscopy

Operando <sup>57</sup>Fe Mössbauer spectra were measured with a source of <sup>57</sup>Co:Rh using a specially designed electrochemical cell [15] during the first desodiation-sodiation and subsequent desodiation cycle. The measurements were performed with the source and sample at room temperature with a triangular velocity waveform in the classical transmission geometry. A NaI(Tl) scintillation detector was used for the detection of the  $\gamma$ -rays.

# 2.3 Simultaneous Nuclear forward scattering and synchrotron X ray diffraction

The operando NFS measurements were carried out at the nuclear resonance beamline ID18 of the European Synchrotron Radiation Facility.[16] Both the NFS and the XRD data were measured at the nuclear resonance energy (i.e., about 14.412 keV) [17] related to the first excited state of <sup>57</sup>Fe. The NFS measurements utilised the time delayed nuclearly scattered radiation, which was registered with a stack of 4 avalanche photodiode detectors [18]. The time dependence of the NFS signal was detected between 15 and 160 ns after the arrival of an X-ray pulse, which in the 16 bunch operating mode of ESRF arrives every 176 ns. In this study each NFS spectrum was measured for about 7 minutes. Between two consecutive NFS spectra, a transmission X-ray diffraction (XRD) pattern was collected using the prompt electronically scattered 14.412 kev radiation (corresponding to a wavelength of 0.860 Å), which was registered using a MAXIPIX position sensitive detector [19]. Each XRD pattern was measured for about 5 seconds.

#### 99 2.4 Electrochemical cycling

Both Mössbauer spectroscopy and simultaneous NFS-XRD experiments were carried out using a specifically designed in situ cell with two Be windows (with negligible Fe contami-101 nation, checked beforehand by conventional Mössbauer spectroscopy) allowing experiments 102 in the transmission mode previously described elsewhere. [15] The cell was assembled in an 103 argon-filled glove-box with a NaFeO<sub>2</sub> positive electrode, a Whatman QM-A quartz fiber 104 separator and a sodium disc counter-electrode, using 1 M NaClO<sub>4</sub> in propylene carbonate 105 (PC) with addition of 5 % fluoroethylene carbonate (FEC) as the electrolyte. Galvanostatic 106 cycling with potential limitation was performed using a Biologic-VSP potentiostat at a C/n 107 rate (expressed as 1 mol of Na reacted in n hours per mole of NaFeO<sub>2</sub>). 108

#### 2.5 Chemometric data analysis

The complete operando Mössbauer spectroscopy, NFS and XRD datasets were analysed 110 by combining Principal Component Analysis (PCA) and Multivariate Curve Resolution-111 Alternating Least Squares (MCR-ALS) analysis (more details about the application of these 112 methods to operando data are given in ref. [20] and, for this specific case, fig. S2). The 113 MCR-ALS analysis for Mössbauer data set was carried out with the following constraints: 114 non-negativity of the concentration of the components and closure (sum of the components 115 concentrations equal to 100%). For the MCR-ALS analysis of NFS the additional constrains 116 of unimodality, as well as the intensity of components 1, 2, 3, 4 were set to be 100% at 117 spectra #1, 15, 20 and 33, respectively. The reconstructed pure spectral components of 118 both techniques were subsequently fitted in a traditional way. 119

The components deriving from the analysis of the Mössbauer spectra were fitted with appropriate combinations of Lorentzian lines using the computer program PC-Mos II computer.[21]
In this way, hyperfine parameters such as the isomer shift  $(\delta)$ , the electric quadrupole splitting  $(\Delta)$ , the full line width at half maximum  $(\Gamma)$  and the relative resonance areas (Area)
of the different spectral components were determined. The isomer shift scale is referred to

 $\alpha$ -iron at room temperature. The components deriving from the analysis of the NFS spectra where fitted using the software MOTIF.[22]

The same PCA + MCR-ALS procedure was applied to the XRD patterns collected together with NFS using the following constraints: non-negativity of the concentration and of
the intensity of the components, and closure (sum of the components concentrations equal
to 100%). The cells parameters of the four pure patterns obtained in this way were refined
using the Le Bail method (see SI for more information).[23]

#### 3 Results

The results shown in the following of the article were obtained in two separate *operando* experiments: a first conventional <sup>57</sup>Fe Mössbauer spectroscopy analysis, and a second synchrotron-based simultaneous NFS and XRD study. The results of these investigations are reported in the following sections and then critically discussed together.

# 3.1 Operando Mössbauer Spectroscopy

The evolution of operando <sup>57</sup>Fe Mössbauer spectra during first desodiation up to 3.5 V, 138 sodiation down to 2.0 V and subsequent desodiation up to 3.8 V of NaFeO<sub>2</sub> and corresponding 139 electrochemical signature are depicted in Fig. 1. PCA applied to the entire operando data 140 set indicates that it can be reproduced by 3 orthogonal vectors (see SI for more details). 141 The analysis of these data required three spectral components (see SI for more details) 142 thus excluding a biphasic reaction mechanism. Their concentration profile, see Fig. 2, reveals 143 that component 1 is dominant at the pristine state, then completely fades away during 144 electrochemical charge and reemerges at the end of discharge (EOD) to become primary 145 component again. This reflects the high reversibility of the reaction when the voltage cut-146 off is limited to 3.5 V, as the pristine state is largely recovered after one complete cycle. 147 Component 3 is the majority component at the end of first and second charge (EOC) reaction 148

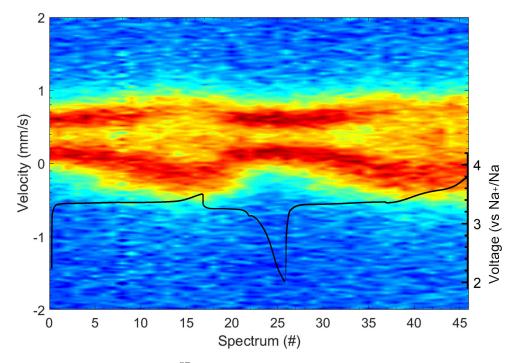


Figure 1: Evolution of operando  $^{57}$ Fe Mössbauer spectra overlain with corresponding electrochemical cycling curve. First desodiation up to  $3.5\,\mathrm{V}$ , sodiation and second desodiation reaction up to  $3.8\,\mathrm{V}$  of NaFeO<sub>2</sub> vs. sodium.

(spectra #15 & #39). Interestingly, about halfway through each desodiation reaction step,
component 2 culminates and then decreases again.

The three pure components obtained via MCR-ALS were fitted in the conventional way, 151 the corresponding hyperfine parameters are reported in Tab. 1, and the fits are shown in Fig. 152 3. Component 1 corresponds to the starting component and can be fitted in straightforward 153 fashion using single species contribution of Fe(III) with a relatively narrow quadrupole split-154 ting  $\Delta$  ( $\approx 0.5 \,\mathrm{mm \, s^{-1}}$ ), well in line with literature values [2, 8]. Component 2 corresponds 155 to the phase mix that is formed upon first and subsequent desodiation and consists of two 156 species. Firstly, a majority of Fe(III) contribution with a larger quadrupole splitting  $(\Delta)$ 157 than observed in component 1. According to the bilinear nature of the MCR-ALS approach, 158 this can be interpreted as either the formation of a new phase of Fe(III), or more likely a 159 gradual increase of the  $\Delta$  in the Fe(III) phase. Secondly, the apparition of a Fe(IV) minority 160 species at significantly lower isomer shift  $(\delta)$  is evinced. Component 3, which corresponds to 161

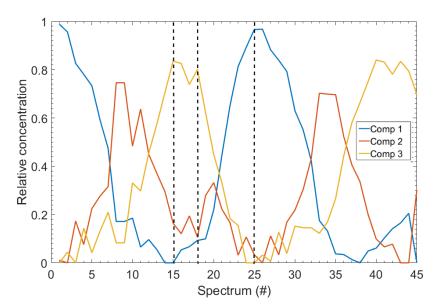


Figure 2: Concentration profile of MCR-ALS components during first 1.5 cycle of NaFeO<sub>2</sub> vs. Na. Dotted lines indicate end of charge (EOC) at #15, begin of discharge (sodiation) at #18 and end of discharge (EOD) at #25 followed by second charge (desodiation) up to  $3.8\,\mathrm{V}$ .

the end of discharge, after completion of one electrochemical cycle can be fitted using same species as for component 2, however, with further increased quadrupole splitting  $\Delta$  for both Fe(III) and Fe(IV) species. Such a strong increase in  $\Delta$  was previously observed in  $ex\ situ$  Mössbauer studies and has been attributed to the distortion of the FeO<sub>6</sub> octahedra during desodiation [2, 11].

The gradual rise of the quadrupole splitting of the Fe(III) species and the simultaneous increase of the average oxidation state upon charge, and its reversion upon discharge are depicted in Fig. 4. It should be noted that quadrupole splitting of Fe(IV) species follows a similar trend as observed for Fe(III).

The evolution of the Mössbauer spectra beyond spectrum #38 is particularly interesting as they reflect the transformation of the cathode material upon oxidation above  $3.5\,\mathrm{V}$ , surpassing the region of stable cycling  $\mathrm{x} \leq 0.5$ . The electrochemical cycling curve reveals an additional plateau at  $\approx 3.6\,\mathrm{V}$  followed by a steep slope. The chemometric analysis reveals that component 3 which reflects the formation of Fe(IV) is reaching its maximum at spectra #39. Interestingly, no further intensity increase of component 3 upon further forced oxida-

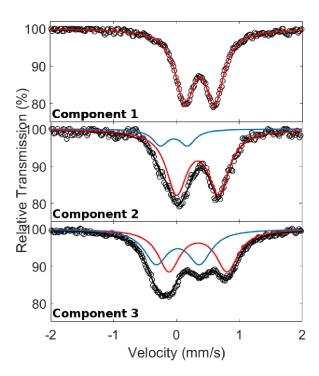


Figure 3: Mössbauer fits of pure components obtained via MCR-ALS for component 1 (pristine), component 2 (intermediate), and component 3 (EOC) in the top, centre, bottom, respectively.

tion is noticed. This suggests that the formation of Fe(IV) does not proceed beyond the 0.5 177 sodiation threshold, which is also reflected by the stagnation (and even a slight decrease) of 178 the average oxidation state in Fig. 4. We can therefore conclude that the capacity obtained 179 beyond 3.5 V is not linked to the Fe<sup>+3/+4</sup> redox couple. These results comfort those of Su-180 santo et al., who showed by in situ X-ray absorption spectroscopy that oxygen redox activity 181 is responsible for charge compensation above 3.5 V, when more than 0.5 Na is extracted from 182 the structure. They also suggested the formation of Fe<sub>3</sub>O<sub>4</sub> caused by oxygen release at high 183 voltage. In spite of a slight decrease of the average oxidation state, however, this observation 184 could not be confirmed by our in situ data, since no clear formation of Fe(+II) species was 185 detected. 186

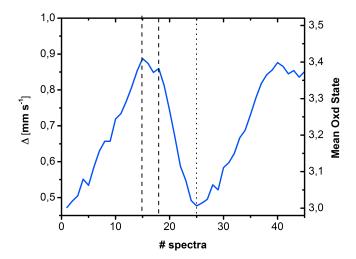


Figure 4: Evolution of Fe(III) quadrupole splitting ( $\Delta$ ) and Fe mean oxidation state during first 1.5 cycle of NaFeO<sub>2</sub> vs. Na. Dashed lines mark the end of first charge and the begin of first discharge at spectra # 15 and # 18 respectively. The dotted line at spectra # 25 indicates end of one complete electrochemical cycle.

# 3.2 Simultaneous Nuclear Forward Scattering and X-ray Diffraction

Operando NFS spectra and XRD patterns were simultaneously collected during first charge (desodiation) reaction up to 4.8 V, acquiring a total of 33 pairs of spectra and patterns (Figure 5). The NFS and XRD data sets were analysed via the chemometric approach implying PCA and MCR-ALS; in analogy with the analysis of the Mössbauer dataset (vide supra).

In contrast to Mössbauer spectroscopy, four independent components were identified

Table 1:  $^{57}$ Fe Mössbauer parameters of the components derived from the MCR-ALS analysis.

| Component | Species | $\Delta \left[ \text{mm s}^{-1} \right]$ | $\delta$ [mm s <sup>-1</sup> ] | $\Gamma \left[ \text{mm s}^{-1} \right]$ | Area [%] |
|-----------|---------|--|--------------------------------|--|----------|
| MCR#1     | Fe(III) | 0.47(1)                                  | 0.37(1)                        | 0.35(1)                                  | 100      |
| MCR#2     | Fe(III) | 0.67(1)                                  | 0.34(1)                        | 0.40(1)                                  | 84(1)    |
|           | Fe(IV)  | 0.43(1)                                  | -0.04(1)                       | 0.30**                                   | 16(1)    |
| MCR#3     | Fe(III) | 0.93(1)                                  | 0.34(1)                        | 0.43(1)                                  | 54(1)    |
|           | Fe(IV)  | 0.69(1)                                  | 0.02(1)                        | 0.45(1)                                  | 46(1)    |

<sup>\*</sup> Isomer shift values are given relative to  $\alpha$ -Fe at RT.

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<sup>\*\*</sup> Values without errors were fixed during the fit.

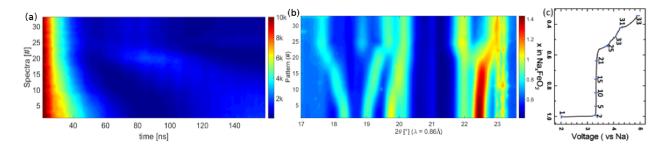


Figure 5: Evolution of (a) NFS spectra and (b) XRD pattern during first charge (desodiation) of NaFeO<sub>2</sub> up to 4.8 V vs. Na<sup>+</sup>/Na. The NFS scattering intensity is plotted in logarithmic scale, the wavelength of angular scale is 0.86 Å. Graph (c) shows the corresponding *operando* electrochemical signature with markers for consecutively numbered data acquisition points.

via principal component analysis for both NFS and XRD (see SI for more details). Their respective concentration profiles are depicted in Fig. 6.

Component 1 reflects the pristine state of the material, whereas component 4 represents
the EOC state. Component 2, and 3 are intermediate compositions. The obtained pure
NFS components, shown in Fig. 7, were fitted in the conventional manner as normal NFS
spectra, and the corresponding hyperfine parameters are shown in Tab. 2.

Table 2: Iron NFS fitting parameters of the MCR-ALS components.

| Component | Species | $\Delta \text{ [mm s}^{-1}\text{]}$ | $\Delta(\delta) \left[ \text{mm s}^{-1} \right]^*$ | $\Gamma \left[ \mathrm{mm}\mathrm{s}^{-1} \right]$ | Angle $[^{\circ}]^{**}$ | Area [%] |
|-----------|---------|-------------------------------------|--|--|-------------------------|----------|
| MCR#1     | Fe(III) | 0.54(1)                             |  | 0.35(2)  | 62.8(2)                 | 100      |
| MCR#2     | Fe(III) | 0.780(2)                            | 0.415(8)   | 0.43***  | 53.6(8)                 | 82(2)    |
|           | Fe(IV)  | 0.50(4)                             | 0.410(0)   | $0.39^{***}$                                       |                         | 18(2)    |
| MCR#3     | Fe(III) | 0.98(3)                             | 0.36(3)  | 0.41(2)  | 58(2)                   | 72(4)    |
|           | Fe(IV)  | 0.63(11)                            | 0.30(3)  | 0.44(3)  | 36(2)                   | 28(4)    |
| MCR#4     | Fe(III) | 1.018(3)                            | 0.328(2)   | 0.51(1)  | 60.0(5)                 | 50(1)    |
|           | Fe(IV)  | 0.77(1)                             | 0.525(2)   | $0.39^{***}$                                       |                         | 50(1)    |

<sup>\*</sup> Absolute value of difference in isomer shifts between two species.

Component 1 can be fitted in a straight forward manner containing a single species with a quadrupole splitting ( $\Delta$ ) slightly higher than that observed by Mössbauer spectroscopy (MS) attributed to Fe(III), see Table 1. The other three NFS MCR components could be fitted only assuming the presence of two species with different isomer shifts. It must be

<sup>\*\*</sup> Angle refers to preferential orientation indicating texture effect.

<sup>\*\*\*</sup> Values without errors were fixed during the fit.

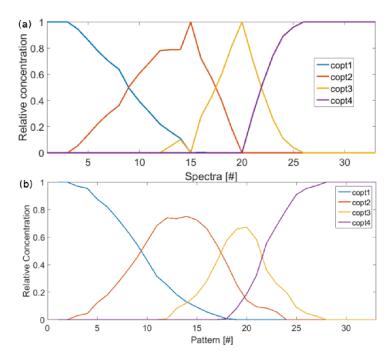


Figure 6: Concentration profile of (a) NFS and (b) XRD MCR-ALS components during first charge (desodiation) of NaFeO<sub>2</sub> vs. Na.

noted that, in contrast to MS, NFS features no reference for the isomer shift and thus only differences in isomer shift between components can be measured, but not the isomer shift itself with reference to, e.g., the source or  $\alpha$ -iron).[24].

Analogous to Mössbauer spectroscopy results, a continuous increase in quadrupole split-208 ting of both species upon desodiation reaction is observed. The reported absolute value 209 of difference in isomer shift  $(\Delta(\delta))$  of  $\approx 0.4\,\mathrm{mm\ s^{-1}}$  for component 2 and of 0.33 mm s<sup>-1</sup> 210 for component 4 are in good agreement with the differences in isomer shift of the spectral 211 contributions of Fe(III) and Fe(IV) observed in the conventional Mössbauer spectra for the 212 intermediate and the EOC components, which confirms the formation of Fe(IV) upon des-213 odiation. The concentration profile shows a flat plateau for the intensity of component 4 214 (Figure 6) beyond spectrum #25, which coincides with a voltage above 3.5 V. This indicates 215 that no significant changes occur to the iron doublets beyond this point. This observation is 216 well in line with the findings of Mössbauer spectroscopy (vide supra) for the second charge 217 reaction surpassing 3.5 V corresponding to spectrum  $\geq #35$ . 218

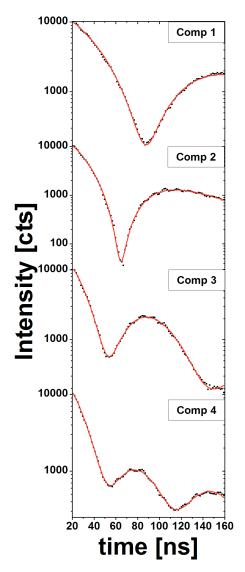


Figure 7: Fitted NFS MCR-ALS pure components during desodiation reaction of NaFeO<sub>2</sub>. Black points and red lines are the pure components and the fitted data respectively.

For the linewidth  $(\Gamma)$  of pristine material (Component 1) a value of 0.35 is found which 219 stems almost exclusively from the effective sample thickness. For the intermediate compo-220 nents 2 and 3 elevated ( $\Gamma$ ) values of  $\approx 0.4\,\mathrm{mm\ s^{-1}}$  are obtained, well in agreement with 221 component 2 of MS. At EOC, the Fe(III) contribution has a slightly higher linewidth com-222 pared to that observed by Mössbauer spectroscopy, which might be attributed to an in-223 creased disorder in the material causing a distribution of Fe(III) sites with slightly different 224 quadrupole splittings. Nevertheless, these fitting parameters must be taken with care as 225 linewidth strongly correlates with area weight and quadrupole splitting values. 226

For the angle parameter which expresses the preferential orientation effect in the material, similar values are obtained for all components with the exception of component 2, suggesting that upon desodiation the electric field gradient in the nascent phase containing Fe(IV) has a slightly different preferential orientation from that of pristine NaFeO<sub>2</sub>. As the reaction continues this difference fades out.

The evolution of the simultaneously acquired *operando* synchrotron XRD patterns upon desodiation of NaFeO<sub>2</sub> is also shown in Fig. 5(b). It depicts features at 18.31°, 19.03° and 19.06° corresponding to the (006), (101), (012) lattice planes of NaFeO<sub>2</sub>, respectively. The evolution of (003) plane XRD feature at low angle 9.12° is shown in Fig. S3.

Analogously to NFS and MS, the XRD dataset was analysed by the chemometric approach using PCA and MCR-ALS, which yield four pure components (shown in Fig. S4) with the concentration profiles upon desodiation presented in Fig. 6(b). The concentration profiles are almost identical to those of NFS in terms of occurrence and succession of pure components. The four pure XRD patterns were refined using the Le Bail method (the refined cell parameters are given in Tab. S2).

The first two components could be refined using the R3m space group (O3-type), whereas 242 the last two had to be refined within the monoclinic C2/m space group, designated as the O'3 phase and typical of the partially desodiated Na<sub>0.5</sub>FeO<sub>2</sub>.[2] The electrochemical process seems to occur though a sequence of a monophasic-biphasic-monophasic regions. The first 245 two components, in fact, can be linearly combined to represent the first solid solution O3 246 domain, the first one representing pristine Na<sub>0.5</sub>FeO<sub>2</sub> and the second one of the same structure 247 but with a decrease. The  $O3 \rightarrow O'3$  transition is observed at about halfway through the 248 charge process, and is followed by a second solid solution O'3 domain which can be obtained 249 through the combination of components #3 and #4. 250

#### <sup>251</sup> 4 Discussion

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The reversible extraction and insertion of Na from the NaFeO<sub>2</sub> was thoroughly monitored 252 by operando Mössbauer spectroscopy and for the first charge by combined operando NFS-253 XRD. All these techniques go hand in hand, revealing the progressive oxidation of Fe(III) to 254 Fe(IV) upon first charge. Moreover, the trend of increasing quadrupole splitting for the two 255 iron species is mutually reflected by MS and NFS. This observation suggests an increasing 256 distortion of the local iron environment upon extraction of sodium from the host structure. 257 Analogously, the two techniques reflect that the electrochemical charge transfer above 3.5 V, 258 revealed by their corresponding cycling curve, is not linked to the  $\mathrm{Fe}^{+3/+4}$  redox couple. Whether these irreversible oxidation reactions are linked to electrolyte degradation or anodic charge contribution, as recently proposed [10], cannot be answered with certainty based on 261 our findings. Nevertheless, the formation of iron species with higher oxidation states can most 262 certainly be excluded, in line with the findings of previous works. The increasing distortion 263 upon oxidation is also reflected by the operando XRD pattern measured simultaneously with 264 the NFS spectra. In this case, the process consists of a first solid solution domain, implying 265 a slight decrease of the cell volume, followed by a biphasic  $O3 \rightarrow O'3$  transition which occurs 266 at about halfway through the extraction of the first 0.5 Na, i.e., at a composition around 267 Na<sub>0.75</sub>FeO<sub>2</sub>. This transition is followed by a further solid solution domain which ends with 268 the extraction of 0.5 Na. 260

Interestingly, the application of chemometric tools to the two spectroscopic datasets leads
to different number of principal components for describing the same oxidation process. The
reason for this could be the more favourable signal-to-noise ratio of NFS technique compared to conventional lab-scale Mössbauer spectroscopy. Indeed, NFS data are intrinsically
noise-free and do not suffer from the broadening of the experimental linewidth, which in
conventional MS is the convolution of the linewidths of the source and of the absorber: in
fact, the linewidth measured in NFS is that of the sample alone.

Consequently, to describe the gradual transformation of Fe(III) to Fe(IV) and their steady

increase in quadrupole splitting an additional component is needed for NFS data set. Therefore, NFS allows an improved discrimination of unresolved superimposed quadrupole doublets compared to conventional MS under similar experimental conditions.

Moreover, NFS allows for faster data collection and is particularly valuable when the 281 concentration of Mössbauer active nuclei is low, when the size of the sample is particularly 282 small (down to fractions of mm) or thin, and when the acquisition time is limited by the 283 experimental framework as it is usually the case for operando measurements [25]. The 284 collection of spectra in very short times permits capturing different instants of the reaction, 285 while Mössbauer spectroscopy, collected over longer times, produces less spectra averaged 286 over longer process fractions, and thus a lower resolution of the whole mechanism. The same 287 is true for XRD, which thanks to the intensity of the synchrotron source provides a very 288 good description of the redox process in relatively short measurement times. 289

In this regard the findings are well in line with our previous study on iron-based electrode materials in which the use of synchrotron source allowed a noticeably reduced acquisition time thanks to strongly reduced background noise compared to lab based source. [26]

#### 5 Conclusion

In this paper, we show that Mössbauer spectroscopy and NFS are both suitable techniques to follow closely the redox reaction at the iron centres during desodiation and sodiation of NaFeO<sub>2</sub>. By applying a chemometric approach for data analysis, combining PCA with MCRALS, it was shown that the oxidation reaction going along with the gradual extraction of sodium from the host structure involves only Fe(III) and Fe(IV) species up to the extraction of half of the sodium. After this point, the oxidation processes occurring at voltage above 3.5 V are not linked to the Fe<sup>3+/4+</sup> redox couple, in line with previous works suggesting the presence of anionic redox activity during the second part of the process. Ancillary XRD analyses, measured simultaneously with NFS, show that the redox reaction implies at least

three redox processes, *i.e.*, solid solution, biphasic and again solid solution. By comparing conventional Mössbauer spectroscopy and synchrotron-based NFS results, it is demonstrated here that the latter has greater accuracy for identifying characteristics of iron doublets.

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## 316 Supporting Information Available

Characterisation of pristine NaFeO<sub>2</sub>; Principal Component Analysis of the *operando* Möss-bauer spectroscopy, NFS and XRD data; *Operando* XRD data analysis.

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